

#3 Sect 101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 072955/0101

Applicant: Hiroyuki OTA

Title: SYSTEM FOR COMPUTING PROBABILITY
DISTRIBUTION OF LOAN LOSSES

Appl. No.: 09/540,890

Filing Date: March 31, 2000

Examiner: Not Yet Assigned

Art Unit: 2768



TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In reply to the Notice to File Missing Parts of Application mailed on June 20, 2000 in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Declaration and Power of Attorney (3 pages)
- [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [X] English Translation of the application with attached Declaration (37 C.F.R. § 1.52(d))
- [X] Eleven sheets of Formal Drawings (Figures 1-12)

Serial No. 09/540,890
Attorney Docket No. 072955/0101

- ☒ Claim for Convention Priority with attached certified copy of priority document (Japanese Patent Application No. 11-115487 filed April 22, 1999)
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 with attached 16 references
- ☒ Check in the amount of \$1,532.00 in payment of the \$690.00 basic filing fee, the \$130.00 surcharge fee (37 C.F.R. § 1.16(e)), the \$130.00 processing fee for Non-English language specification (37 C.F.R. § 1.17(k)), the \$546.00 fee for independent claims in excess of 3, and the \$36.00 fee for claims excess of 20.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

8/16/2000

By

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FORMALITIES LETTER



OC000000005189264

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/540,890	03/31/2000	Hiroyuki Ota	072955/0101

Johnny A Kumar
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Date Mailed: 06/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 950.**

*A copy of this notice **MUST** be returned with the reply.*

D. Martin T-4

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/18/2000 SDENB001 00000005 09540890

05 FC:139

130.00 OP

08/18/2000 SDENB001 00000005 09540890

01 FC:101	690.00 OP
02 FC:102	546.00 OP
03 FC:103	36.00 OP
04 FC:105	130.00 OP